

SECRET

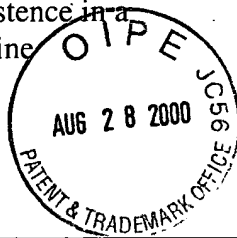
PATENT  
(5181-63200/P5081)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/587,078  
Filed: June 2, 2000  
Inventor(s):  
Bernard A. Traversat, Mike Duigou,  
Thomas E. Saulpaugh, and Gregory  
L. Slaughter

Examiner: Unknown  
Group/Art Unit: 2756  
Atty. Dkt. No: 5181-63200

Title: Process Persistence in a  
Virtual Machine



I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Robert C. Kowert

Name of Registered Representative

August 22, 2000

Signature

Date

**RESPONSE TO NOTICE TO FILE**  
**MISSING PARTS OF APPLICATION**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application, mailed August 7, 2000, there is enclosed herewith:

- ☒ An Assignment of the invention to Sun Microsystems, Inc.
- ☒ A combined Declaration and Power of Attorney executed by the inventor(s)

- ☒ A check in the amount of \$2,948.00 to cover:
  - filing fee for large entity (\$690.00)
  - surcharge for late filing of oath or declaration for large entity (\$130.00)
  - assignment fee (\$40.00)
  - extra independent claims (\$468.00)
  - extra dependent claims (\$1,620.00)
- ☒ A copy of Notice to File Missing Parts of Application Filing Date Granted
- ☒ A return postcard to acknowledge receipt of these materials. Please stamp and return this postcard to the undersigned.

If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 03-2769/5181-63200/RCK.

Respectfully submitted,



Robert C. Kowert  
Reg. No. 39,255  
Attorney for Applicants

Conley, Rose & Tayon, P.C.  
P.O. Box 398  
Austin, Texas 78767-0398  
Ph: (512) 476-1400

Date: August 22, 2000

## FORMALITIES LETTER



\*OC000000005300298\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/587,078	06/02/2000	Bernard Traversat	5181-63200

Robert C Kowert  
Conley Rose & Tayon P C  
P O Box 398  
Austin, TX 78767

Date Mailed: 08/07/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2088.
  - \$1620 for 90 total claims over 20.
  - \$468 for 6 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2908.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/30/2000 SLIANG1 00000066 09587078

01 FC:101	690.00 OP
02 FC:102	468.00 OP
03 FC:103	1620.00 OP
04 FC:105	130.00 OP